

### 4.4.3 Effective Lagrangian

Now that we know the transformation of the Goldstone bosons, it is straightforward to write down the effective Lagrangian in the chiral limit  $m_q = 0$ . After this, we'll have to implement the symmetry breaking terms which involve the quark masses.

Under a chiral transformation  $U \rightarrow V_R U V_L^\dagger$  and we want to find an effective Lagrangian  $\mathcal{L}_{\text{eff}}(U)$  which is invariant under chiral transformations. Since  $U(x)$  is dimensionless, the terms with higher powers of  $U(x)$  are unsuppressed, so we order terms by derivatives

$$\mathcal{L}_{\text{eff}} = \overset{O(1)}{f_0(U)} + \overset{O(p^1)}{f_1(U)} \square U + \overset{O(p^2)}{f_2(U)} \partial_\mu U \partial^\mu U + \dots$$

\* Chiral symm. implies  $f_0(U) = f_0(V_R U V_L^\dagger)$ .

Choose  $V_R = 1, V_L = U \Rightarrow f_0(U) = f_0(1) = \text{const.}$

$\Rightarrow O(1)$  terms are an irrelevant constant. Drop.

\* The  $f_1$ -term can be absorbed into  $f_2$  using integration by part

$$\int d^4x f_1(u) \square u = -\int d^4x f_1'(u) \partial_\mu u \partial^\mu u$$

$$\Rightarrow \mathcal{L}_{\text{eff}} = f(u) \partial_\mu u \partial^\mu u.$$

$$= \tilde{f}(u) \Delta_\mu \Delta^\mu \quad \text{with} \quad \Delta_\mu = (\partial_\mu u) u^\dagger$$

The quantity  $\Delta_\mu$  transforms as  $\Delta_\mu \rightarrow V_R \Delta_\mu V_R^\dagger$  and is invariant under  $V_L$  transformations.

$$\mathcal{L}_{\text{eff}} = \tilde{f}(u V_L^\dagger) \Delta_\mu \Delta^\mu$$

$$= \tilde{f}(1) \Delta_\mu \Delta^\mu$$

$$\uparrow \\ v_L = u.$$

The last question is how the indices of the matrices  $\Delta_\mu$  are contracted. The only possibility is

$$\mathcal{L}_{\text{eff}} = c \cdot \text{tr}[\Delta_\mu \Delta^\mu]$$

Mathematically, this amounts to the question how one can form a singlet from two adjoint representations of  $SU(N)$  and indeed there is only a single possibility corresponding to the trace.

$$\begin{aligned} \text{tr} [\Delta^\mu \Delta_\mu] &= \text{tr} [(\partial_\mu U) U^\dagger (\partial^\mu U) U^\dagger] \\ &= -\text{tr} [\partial_\mu U U^\dagger U \partial^\mu U^\dagger] = -\text{tr} [\partial_\mu U \partial^\mu U^\dagger] \\ &\quad \uparrow \\ \partial_\mu (U U^\dagger) &= 0 \end{aligned}$$

$$\mathcal{L}_{\text{eff}} = \frac{F^2}{4} \text{tr} [\partial_\mu U \partial^\mu U] + \mathcal{O}(p^4)$$

The prefactor has been chosen to get canonically normalized kinetic terms for the  $\pi$ 's. To see this we now expand

$$U(x) = \exp \left[ \frac{i}{F} \vec{\pi} \cdot \vec{\sigma} \right] = \mathbb{1} + \frac{i}{F} \vec{\pi} \cdot \vec{\sigma} - \frac{1}{2F^2} \vec{\pi}^2 \mathbb{1}$$

$$\mathcal{L}_{\text{eff}} = \frac{1}{2} \partial_\mu \vec{\pi} \partial^\mu \vec{\pi} + \frac{1}{48F^2} \text{tr} \left[ [\partial_\mu \vec{\pi}, \vec{\pi}] [\partial^\mu \vec{\pi}, \vec{\pi}] \right]$$

The effective Lagrangian has several remarkable properties:

- 1.) One parameter  $F$  determines all  $\pi$ -interactions
- 2.) Symmetry requires interactions with arbitrary many pions.
- 3.) Derivative couplings: the interactions vanish if the momenta go to zero.

Our effective Lagrangian is only valid in the limit  $m_q = 0$ . and we should now also implement the quark mass terms which break the symmetry,

$$\mathcal{L}_m = -\bar{q}_R M q_L - \bar{q}_L M^\dagger q_R$$

$$\text{with } M = \begin{pmatrix} m_u & 0 & 0 \\ 0 & m_d & 0 \\ 0 & 0 & m_s \end{pmatrix}.$$

Note that  $\mathcal{L}_m$  would be invariant if  $M$  transformed

as  $M \rightarrow V_R M V_L^\dagger$ . This property can now

be used to construct  $\mathcal{L}_{\text{eff}}(U, M)$ : one treats  $M$  as an external source which transforms as  $M \rightarrow V_R M V_L$ .  $\mathcal{L}_{\text{eff}}$  must then be invariant as well. Expanding in  $M$ , the lowest invariant term is

$$\mathcal{L}_{\text{s.b.}} = \frac{F^2 B_0}{2} \text{tr} [M U^\dagger + M^\dagger U]$$

This term gives a mass to the  $\pi$ 's. For  $SU(2)$

$$\begin{aligned} \mathcal{L}_{\text{s.b.}} &= \frac{F^2 B_0}{2} \text{tr} [M] \left( -\frac{1}{F^2} \vec{\pi}^2 \right) \\ &= -\frac{B_0}{2} (m_u + m_d) \vec{\pi}^2 = -\frac{M_\pi^2}{2} \vec{\pi}^2. \end{aligned}$$

$\Rightarrow$  The masses of the  $\pi$ 's are equal and proportional to the sum  $m_u + m_d$ .

To relate the quantity  $B_0$  to a QCD matrix element treat  $M$  as an external source

$M \equiv [M(x)]_{ij}$  and then take a functional derivative of the full and effective theory partition functions.

$$\frac{1}{i} \frac{\delta}{\delta m_{ij}^{(x)}} Z_{\text{QCD}} = - \langle 0 | \bar{q}_{L,i}(x) q_{R,j}(x) + \bar{q}_{R,j}(x) q_{L,i}(x) | 0 \rangle$$

$$\frac{1}{i} \frac{\delta}{\delta m_{ij}^{(x)}} Z_{\text{eff}} = \frac{F^2 B_0}{2} \langle 0 | (U^\dagger)_{ij}^{(x)} + U_{ij}^{(x)} | 0 \rangle$$

The classical action is minimized by  $\vec{\pi} = 0$ ,  $U = 1$ .

Up to pion loop corrections, we thus have

$$\Rightarrow F^2 B_0 \delta_{ij} = - \langle 0 | \bar{q}_{L,i} q_{R,j} + \bar{q}_{R,j} q_{L,i} | 0 \rangle$$

$$F^2 B_0 = - \langle 0 | \bar{u} u | 0 \rangle = - \langle 0 | \bar{d} d | 0 \rangle$$

$\Rightarrow B_0$  corresponds to the quark condensate in the limit  $m_q \rightarrow 0$ .

$$\text{So } M_\pi^2 = \underbrace{(m_u + m_d)}_{\text{explicit breaking}} \left( \underbrace{\frac{- \langle 0 | \bar{u} u | 0 \rangle}{F^2}}_{\text{spontaneous breaking}} \right) + O(m_q^2)$$

Since  $p_\pi^2 = M_\pi^2 \propto m_q$ , the quark masses count as  $O(p^2)$ .

The three  $\pi$ 's have the same mass because

the quadratic term in  $U(x) = \mathbb{1} + \frac{i}{f} \vec{\pi} \vec{\sigma} - \frac{1}{2f^2} \vec{\pi}^2 \cdot \mathbb{1} + \dots$

is proportional to the unit matrix since  $\frac{1}{2} \sum \sigma_i, \sigma_j = \delta^{ij} \mathbb{1}$ .

In the  $SU(3)$  case  $\sum \lambda^a, \lambda^b$  is nontrivial and one

finds

$$M_{\pi}^2 = (m_u + m_d) B + O(m_q^2)$$

$$M_{K^\pm}^2 = (m_u + m_s) B + O(m_q^2)$$

$$M_{K^0}^2 = (m_d + m_s) B + O(m_q^2)$$

$$M_{\eta}^2 = \frac{1}{3} (m_u + m_d + 4m_s) B + O(m_q^2)$$

(Gell-Mann,akes, Renner '68)

$M_K^2 \sim 13 \times M_{\pi}^2$  because  $m_s \gg m_u, m_d$ .

$$M_{\pi}^2 - 4M_K^2 + 3M_{\eta}^2 = 0 + O(m_q^2)$$

(Gell-Mann-Okubo formula.)

To understand how the mesons interact with photons,  $W$ - and  $Z$ -bosons, it is useful to introduce external sources with the appropriate quantum numbers both in the full and the effective theory.

For QCD, we add

$$\mathcal{L}_{\text{QCD}} = \mathcal{L}_0 + \mathcal{L}_1,$$

$$\mathcal{L}_0 = -\frac{1}{4} G_{\mu\nu}^a G^{\mu\nu a} + \bar{q} i \not{D} q,$$

$$\mathcal{L}_1 = V_\mu^a V_\mu^a + A_\mu^a A_\mu^a - S^a S^a - P^a P^a,$$

$$V_\mu^a = \bar{q} \gamma^\mu \frac{\lambda_a}{2} q; \quad A_\mu^a = \bar{q} \gamma^\mu \gamma_5 \frac{\lambda_a}{2} q;$$

$$S^a = \bar{q} \frac{\lambda_a}{2} q; \quad P^a = \bar{q} i \gamma_5 \frac{\lambda_a}{2} q.$$

We also include singlets via  $\lambda_0 = \sqrt{\frac{3}{2}} \mathbb{1}$ .

The external fields  $V_\mu^a(x)$ ,  $A_\mu^a(x)$ ,  $S^a(x)$ ,  $P^a(x)$

can be used to probe different aspects of

QCD. Quark masses are included in  $S^a(x)$ .

To construct  $\mathcal{L}_{\text{eff}}$  in the presence of these

sources, one can use the fact that  $\mathcal{L}_{\text{QCD}}$

becomes invariant under local transformations

$$q_L(x) \rightarrow V_L(x) q_L(x); \quad q_R(x) \rightarrow V_R(x) q_R(x)$$



provided the external fields transform like gauge fields:

$$r_\mu = (V_\mu + a_\mu) \rightarrow V_R (V_\mu + a_\mu) V_R^\dagger - i(\partial_\mu V_R) V_R^\dagger$$

$$l_\mu = (V_\mu - a_\mu) \rightarrow V_L (V_\mu - a_\mu) V_L^\dagger - i(\partial_\mu V_L) V_L^\dagger$$

$$(S + ip) \rightarrow V_R (S + ip) V_L^\dagger$$

where  $V_\mu = V_\mu^a \frac{\lambda^a}{2}$ , etc.

It is easy to construct a locally invariant effective Lagrangian. At leading power, it is sufficient to replace  $\partial_\mu$  by the covariant derivative:

$$iD_\mu U = i\partial_\mu U + \overset{\substack{\text{count as } O(p) \\ \downarrow}}{(V_\mu + a_\mu)} U - U (V_\mu - a_\mu)$$

so that

$$\mathcal{L}_{\text{eff}} = \frac{F^2}{4} \text{tr} [D_\mu U D^\mu U^\dagger] + \frac{F^2 B}{2} \text{tr} [\chi U^\dagger + \chi^\dagger U] + O(p^2)$$

with  $\chi = S + ip$ .

$\uparrow$   
 $O(p^2)$

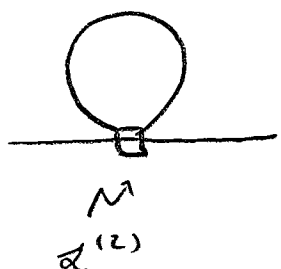
At  $O(p^4)$   $\mathcal{L}^{(4)}$  has the form (Gasser + Leutwyler '84)

$$\begin{aligned} \mathcal{L}^{(4)} = & \frac{l_1}{4} (\text{tr} [D_\mu U D^\mu U^\dagger])^2 + \frac{l_2}{4} \text{tr} [D_\mu U D_\nu^\dagger U^\dagger] \\ & * \text{tr} [D^\mu U D^\nu U^\dagger] + \frac{l_3}{4} (\text{tr} [X U^\dagger + U X^\dagger])^2 \\ & + \frac{l_4}{4} \text{tr} [D_\mu X D^\mu U^\dagger + D_\mu U D^\mu X^\dagger] \\ & + \dots \end{aligned}$$

For  $SU(3)$   $\mathcal{L}^{(4)}$  has 12 coupling constants,

for  $SU(2)$  10. low energy constants.

Let us note that one-loop graphs from  $\mathcal{L}^{(2)}$  are of order  $p^4$ . So to obtain results to this order one needs tree-level diagrams from  $\mathcal{L}^{(4)}$  as well as the one-loop corrections generated by  $\mathcal{L}^{(2)}$ . E.g.



$$\propto \int d^4 k \frac{1}{k^2 - M^2} k^2 \propto M^4$$

There is one complication:  $\mathcal{L}_{\text{CS}}$  and  $\mathcal{L}_{\text{eff}}$  are invariant under local chiral transformations but the partition function

$$\begin{aligned} Z[v, a, s, p] &= \int \mathcal{D}\psi \mathcal{D}\bar{\psi} \mathcal{D}A_\mu e^{i \int d^4x \mathcal{L}_0 + \mathcal{L}_1} \\ &= e^{i \mathcal{L}_{\text{eff}}[v, a, s, p]} \end{aligned}$$

is not invariant if the external sources are non-zero because of anomalies in the fermion determinant.

Since the effective theory does not involve fermion fields, invariance of  $\mathcal{L}_{\text{eff}}$  leads to invariance of the partition function. To correct this mismatch, one needs to add to  $\mathcal{L}_{\text{eff}}$  a term which reproduces the change of the QCD partition function. This term is called the Wess-Zumino-Witten term,  $\mathcal{L}_{\text{WZW}}$ . The full effective theory Lagrangian is

$$\mathcal{L}_{\text{eff}} = \mathcal{L}_{\text{inv}} + \mathcal{L}_{\text{WZW}}.$$

The WZW terms are  $O(p^4)$  and do not involve any low-energy constants. In contrast to  $\mathcal{L}_{inv}$ , the terms in  $\mathcal{L}_{WZW}$  contain odd number of GB fields. In particular it contains a term describing an interaction of two vector fields with a  $\pi^0$ , which leads to

$$\Gamma_{\pi^0 \rightarrow \gamma\gamma} = \frac{\alpha^2 N_c^2 M_{\pi^0}^3}{192\pi^3 F_{\pi}^3} (e_u + e_d)^2 = 7.6 \text{ eV}$$

The good agreement with the exp. value ( $7.7 \pm 0.6$ ) eV is usually sold as evidence for  $N_c = 3$ . However,  $\text{B\ddot{e}r}$  and Wiese '01 pointed out that  $e_u + e_d = \frac{1}{N_c}$  for  $N_c$  colors so that the rate does not depend on  $N_c$ .

In the exercises, we computed  $\Gamma_{\pi^\pm} = 2.5 \cdot 10^{-8} \text{ eV}$ .

The  $\pi^0$  decay is much faster because it is mediated by strong instead of weak interactions.