

## 8.1. Factorization of the DIS cross section

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Let us now analyze the process in SCET and derive a factorization theorem for the cross section. It is most convenient to work in the Breit frame, where

$$q^\mu = \frac{Q}{2} (\bar{n}^\mu - n^\mu) = Q(0, 0, 0, -1)$$

$$q^2 = -Q^2 \quad \checkmark$$

$$P^\mu = \bar{n} \cdot P \frac{n^\mu}{2} + \frac{M_P^2}{\bar{n} \cdot P} \frac{\bar{n}^\mu}{2}$$

$$P^2 = M_P^2 \frac{2\bar{n} \cdot n}{4} = M_P^2 \quad \checkmark$$

$$X = \frac{Q^2}{2P \cdot q} = \frac{Q^2}{\bar{n} \cdot P} + \mathcal{O}\left(\frac{m_P^2}{Q^2}\right)$$

Recall that

$$\begin{aligned} W^{\mu\nu} &= \left(-g^{\mu\nu} + \frac{q^\mu q^\nu}{q^2}\right) W_1 + \left(P^\mu - \frac{q^\mu q \cdot P}{q^2}\right) \left(P^\nu - \frac{q^\nu q \cdot P}{q^2}\right) W_2 \\ &= \left[-g^{\mu\nu} + \frac{(\bar{n}^\mu - n^\mu)(\bar{n}^\nu - n^\nu)}{4}\right] W_1 + \frac{(\bar{n} \cdot P)^2}{16} (n^\mu + \bar{n}^\mu)(n^\nu + \bar{n}^\nu) W_2 \end{aligned}$$

The tensor  $W^{\mu\nu}$  is defined as

$$W^{\mu\nu} = \frac{1}{2} \sum_s \langle P, s | T^{\mu\nu} | P, s \rangle$$

$$T^{\mu\nu} = i \int d^4x e^{iqx} T [ J_\mu^+(x) J_\nu(0) ]$$

One way to analyze  $T^{\mu\nu}$  would be to expand it in a series of local operators, however because we later take the proton matrix element operators such as

$$\frac{1}{Q^n} \langle \bar{q} (\bar{n} \cdot D)^n q \rangle \sim \left( \frac{\bar{n} \cdot p}{Q} \right)^n = x^n$$

are not suppressed. This situation, where we have very energetic particles, is precisely what SCET was designed for. Because the  $\bar{n} \cdot D$  derivatives on collinear fields are not suppressed the operators are non-local along the corresponding light-cone direction. To analyze  $T^{\mu\nu}$  in this effective theory, we now write down the most general

Leading power operator in the EFT.

First, since we average over spins, we can write

$$T^{\mu\nu} = \left( -g^{\mu\nu} + \frac{(\bar{u}^\mu - u^\mu)(\bar{u}^\nu - u^\nu)}{4} \right) T_1$$

$$\frac{(\bar{u} \cdot P)^2}{16} (u^\mu + \bar{u}^\mu)(u^\nu + \bar{u}^\nu) T_2$$

where  $T_1$  and  $T_2$  are scalar operators in SCET.

It is a bit strange to include a factor  $\bar{u} \cdot P$  in the operator  $T^{\mu\nu}$ , since it does not know about the proton. We do it so that

$$\langle p | T_1 | p \rangle = W_1 ; \quad \langle p | T_2 | p \rangle = W_2 .$$

So now we should write down operators. As building blocks, we use

$$\chi(x) = W_c^+ \xi_c(x)$$

$$B_\perp^\mu(x) = W_c^+(x) \bar{n}_\nu \left[ G_c^{\nu\mu}(x) - G_E^{\nu\alpha}(x) n^\alpha \frac{\bar{n}^\mu}{2} \right] W_c(x)$$

Remember that  $A_\perp^\mu \sim (u \cdot A, \bar{u} A, A_\perp^\mu)$   
 $\sim (\lambda^2, 1, \lambda)$

$$\text{Thus } \bar{u}_\nu G^{\nu\mu}_\perp \sim \lambda$$

$$\bar{u}_\nu u_\mu G^{\mu\nu} \sim \lambda^2$$

$$G^{\mu\nu}_\perp \sim \lambda^2$$

So at leading power only  $B_\perp^\mu$  can enter.

The lowest order operator is  $\mathbb{1}$ , however it does not have an imaginary part. There are no scalar operators with one field. The first nontrivial possibilities are

$$\mathcal{O}_1 = \bar{\chi}(s\bar{u}) \frac{\not{u}}{2} \chi(t\bar{u}) \sim \mathcal{O}(\lambda^2)$$

$$\mathcal{O}_2 = \text{tr} \left[ B_\perp^\mu(s\bar{u}) B_\perp^\mu(t\bar{u}) \right] \sim \mathcal{O}(\lambda^2)$$

⌈ Note that  $\not{u}\chi = 0$ ,  $\frac{\not{u}}{4}\chi = \chi$ :

$$\bar{\chi}\chi = \bar{\chi} \frac{\not{u}}{4} \chi = 0$$

$$\bar{\chi}\not{u}\chi = 0$$

$$\bar{\chi}\not{u} \frac{\not{u}}{2} \chi \text{ violates parity.}$$

⌋

So  $\mathcal{O}_1$  and  $\mathcal{O}_2$  are the only operators of leading power. Because of translation invariance only the distance between the fields matters, so we can set  $t=0$  without loss of generality. So, up to power suppressed terms

$$T_1 = \int ds \left\{ C_{1q}(s, Q^2) \bar{\chi}(s\bar{u}^+) \frac{1}{2} \chi(0) + C_{1q}(s, Q^2) \text{tr} [B_{\perp}^{\alpha}(s\bar{u}^+) B_{\perp\alpha}(0)] \right\}$$

and analogously for  $T_2$ , with different coefficients  $C(s, Q^2)$

Now we decouple soft gluons  $x^- = \bar{u} \cdot x \frac{u^+}{2}$

$$\chi(x) \rightarrow S_u(x_-) \chi^{(0)}(x)$$

it follows  $\chi(s\bar{u}) \rightarrow S_u(0) \chi^{(0)}(x)$

$$B_{\perp}^{\dagger}(x) \rightarrow S(x_-) B_{\perp}^{\dagger}(x) S^{\dagger}(x_-)$$

$$\text{So } \bar{\chi}(s\bar{u}) \frac{\not{\epsilon}}{2} \chi(0)$$

$$\rightarrow \bar{\chi}^{(0)}(s\bar{u}) \frac{\not{\epsilon}}{2} \underbrace{S_u^+(0) S_u(0)}_{=1} \chi(0)$$

Similarly, the soft gluons decouple from  $\text{tr}[B_\perp^\nu B_\nu]$ .

So we have factorized the cross section!

Now we just need to understand what the factorization theorem means ... To get

an understanding, let's calculate  $\hat{W}_{q,2}$ , the quark matrix element of  $T_{1,2}$ :

$$\frac{1}{2} \sum_{\text{spin}} \langle q(p) | T_2 | q(p) \rangle =$$

$$\int ds C_{1q}(s, Q^2) e^{is\bar{u}\cdot p} \frac{1}{2} \sum_{\text{spin}} \bar{u}(p) \frac{\not{\epsilon}}{2} u(p)$$

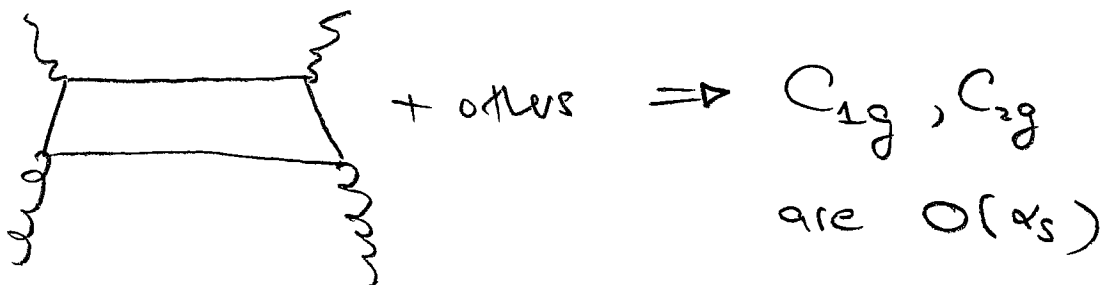
$$= \tilde{C}_{1q}(\bar{n}\cdot p, Q^2) \frac{1}{2} \text{tr}[\not{\epsilon} \frac{\not{1}}{2}] = \tilde{C}_q(\bar{n}\cdot p, Q^2) \bar{n}\cdot p$$

$$\text{So } \tilde{C}_{1q}(\bar{n} \cdot p, Q^2) \bar{n} \cdot p = \hat{W}_{1q}(\bar{n} \cdot p, Q^2).$$

The Fourier transformed Wilson coefficient is just the partonic process



The gluonic coefficient is obtained from



Next, let's consider the proton matrix elements.

One defines

$$\begin{aligned} & \frac{1}{2} \sum_{\text{spin}} \langle P | \bar{\chi}(s\bar{u}) \frac{\not{n}}{2} \chi(0) | P \rangle \\ & = \bar{n} \cdot P \int d\bar{z} f_q(\bar{z}) e^{i\bar{z} \bar{n} \cdot P s} \end{aligned}$$

and

$$\frac{1}{2} \sum_{\text{spin}} \langle P | B_{\perp}^{\alpha}(s\vec{u}) B_{\perp\alpha}(0) | P \rangle$$

$$= \int d\zeta \zeta(\vec{u} \cdot \vec{P})^2 f_g(\zeta) e^{i\zeta \vec{u} \cdot \vec{P} S}$$

The integrations run from  $-1 < \zeta < 1$ . The function  $f_g(\zeta)$  picks up a contribution when the field  $\chi$  carries away a fraction  $\zeta$  of the proton's momentum. Negative values correspond to the anti-particle case

$$\bar{f}_g(\zeta) = f_{\bar{g}}(\zeta) = -f_g(1-\zeta)$$

$$f_g(\zeta) = f_g(1-\zeta) = \bar{f}_g(\zeta)$$

Now plug the expressions for the matrix elements into the expressions for  $W_1$  and  $W_2$ :



$$\begin{aligned}
W_1 &= \int ds C_{1q}(s, Q^2) \int d\xi \bar{u} \cdot P e^{i\xi \bar{u} \cdot P} f_q(\xi) + \text{"glue"} \\
&= \int_{-1}^1 d\xi \tilde{C}_{1q}(\xi \bar{u} \cdot P, Q^2) \bar{u} \cdot P f_q(\xi) + \text{"glue"} \\
&= \int_{-1}^1 \frac{d\xi}{\xi} \hat{W}_{1q}(\xi \bar{u} \cdot P, Q^2) f_q(\xi) + \text{"glue"}
\end{aligned}$$

$$\begin{aligned}
W_i &= \sum_q \int_0^1 \frac{d\xi}{\xi} \hat{W}_{iq}(\xi \bar{u} \cdot P, Q^2) [f_q(\xi) + f_{\bar{q}}(\xi)] \\
&\quad + \int_0^1 \frac{d\xi}{\xi} \hat{W}_{ig}(\xi \bar{u} \cdot P, Q^2) f_g(\xi) \quad ; i=1,2
\end{aligned}$$

$$\frac{d^2\sigma}{dx dy} = \frac{2\alpha_s^2 y}{(Q^2)^2} \left[ Q^2 \text{Im} W_1 + \frac{s^2}{2} (1-y) \text{Im} W_2 \right]$$

The hadronic part is real, so that the imaginary part of  $W_2$  and  $W_2$  is obtained by taking the imaginary part of the partonic  $\hat{W}_{iq}$  and  $\hat{W}_{ig}$ .

We are summing over different quark flavors

$$q = u, d, s, \dots$$

To summarize: we have factored the cross section into a set of non-perturbative parton distribution functions (PDFs)  $f_i(x)$  which are convoluted with partonic cross section. To lowest order in  $\alpha_s$ , we reproduce the parton model result, but our formula gives operator definitions for the PDFs and allows us to systematically include higher orders in  $\alpha_s$ .