

### 7.3. Generalization to QCD

The method for constructing the effective theory for QCD is analogous to the scalar case. In particular, the same momentum regions appear, since only the numerators of the diagrams <sup>differ</sup> between  $\phi^3$  and QCD.

The two complications with respect to the scalar case are that not all components of the quark and gluon fields have the same scaling and that we need to ensure gauge invariance, in particular also for the non-local operators.

To make things simpler, let's only consider one type of collinear field  $p_c^\mu \sim (\lambda^2, 1, \lambda)$  for the moment. Let's look at the fermion field. Split

$$\psi_c(x) = \xi(x) + \eta(x) \quad ; \quad \xi = P_+ \psi_c = \frac{\not{k}}{4} \psi_c$$

$$\eta = P_- \psi_c = \frac{\not{\bar{k}}}{4} \psi_c$$

Note:  $\not{k}\xi = 0 \quad ; \quad \not{\bar{k}}\eta = 0$

$$P_+^2 = \frac{\not{k}}{4} \frac{\not{k}}{4} = -\frac{\not{k}}{4} \frac{\not{\bar{k}}}{4} + \frac{\not{k}}{4} \frac{2\not{u}}{4} = \frac{\not{k}}{4} = P_+$$

$$P_+ + P_- = \frac{\not{k}}{4} + \frac{\not{\bar{k}}}{4} = \frac{2\not{u}}{4} = 1.$$

$$\langle 0 | T \{ \bar{\psi}(x) \bar{\psi}(0) \} | 0 \rangle = \frac{u \bar{u}}{4} \langle 0 | T \{ \psi(x) \bar{\psi}(0) \} | 0 \rangle \frac{\bar{u} u}{4}$$

$$= \int \frac{d^4 p}{(2\pi)^4} \frac{i}{p^2 + i\epsilon} e^{-ipx} \underbrace{\frac{\bar{u} u}{4} \not{p} \frac{u \bar{u}}{4}}_{= \frac{\bar{u}}{2} \not{u} \cdot p} \sim \lambda^2 \lambda^2 \frac{1}{\lambda^2}$$

$$\Rightarrow \bar{\psi}(x) \sim \lambda.$$

The same argument gives

$$\psi(x) \sim \lambda^2.$$

For the soft quark field

$$q_{s|s} \sim (\lambda^2)^4 \frac{1}{\lambda^4} \lambda^2 = \lambda^6$$

$$q_s \sim \lambda^3$$

For the gluon field

$$\langle 0 | T \{ A^\mu(x) A^\nu(0) \} | 0 \rangle = \int \frac{d^4 p}{(2\pi)^4} e^{-ipx} \frac{i}{p^2} \left( -g^{\mu\nu} + \frac{p^\mu p^\nu}{p^2} \right)$$

$$\Rightarrow A_c^\mu \sim p_c^\mu \quad ; \quad A_s^\mu \sim p_s^\mu$$

$$\Rightarrow \bar{u} \cdot A_c \sim 1 \quad ; \quad u \cdot A_c \sim \lambda^2 \quad ; \quad A_c^\mu \sim \lambda$$

$$A_s^\mu \sim \lambda^2.$$

The collinear fermion Lagrangian has a special form since the two components encoded in  $\eta$  are of a higher power than the  $\xi$  components and can be integrated out. With  $\not{n}\xi = 0$ ,  $\not{n}\eta = 0$ , we find

$$\begin{aligned} \mathcal{L}_c &= \bar{\Psi}_c i\not{D}_c \Psi = (\bar{\xi} + \bar{\eta}) \left[ \frac{\not{n}}{2} i\bar{u} \cdot D + \frac{\not{n}}{2} i\bar{u} \cdot D + i\not{D}_\perp \right] (\xi + \eta) \\ &= \bar{\xi} \frac{\not{n}}{2} \bar{u} \cdot D \xi + \bar{\xi} i\not{D}_\perp \eta + \bar{\eta} i\not{D}_\perp \xi + \bar{\eta} \frac{\not{n}}{2} \bar{u} \cdot D \eta \end{aligned}$$

Since the action is quadratic, we can integrate out  $\eta$  exactly. A short-cut for obtaining the result is to plug the solution of the equation of motion back into  $\mathcal{L}_c$ . The EOM's are

$$\frac{\not{n}}{2} \bar{u} \cdot D \xi = -i\not{D}_\perp \eta$$

$$i\not{D}_\perp \xi = -\frac{\not{n}}{2} \bar{u} \cdot D \eta$$

$$\Rightarrow \frac{\not{n}}{2} i\not{D}_\perp \xi = -\frac{\not{n}\not{n}}{2} \bar{u} \cdot D \eta = -\bar{u} \cdot D \eta$$

$$\Rightarrow \eta = -\frac{\not{n}}{2i\bar{u} \cdot D} i\not{D}_\perp \xi ; \bar{\eta} = -\bar{\xi} i\not{D}_\perp \frac{\not{n}}{2i\bar{u} \cdot D}$$

Plug in:

$$\begin{aligned}
 \mathcal{L}_c &= \int_{\mathcal{C}_c} \frac{\mathcal{K}}{2} u \cdot D \int_{\mathcal{C}_c} + \int_{\mathcal{C}_c} i \not{D}_\perp \frac{1}{i \bar{u} \cdot D} i \not{D}_\perp \frac{\mathcal{K}}{2} \int_{\mathcal{C}_c} \\
 &+ \int_{\mathcal{C}_c} i \not{D}_\perp \frac{1}{i \bar{u} \cdot D} i \not{D}_\perp \frac{\mathcal{K}}{2} \int_{\mathcal{C}_c} \\
 &+ \int_{\mathcal{C}_c} i \not{D}_\perp \frac{\mathcal{K}}{2 i \bar{u} \cdot D} \underbrace{\frac{\mathcal{K}}{2} i \bar{u} \cdot D \frac{\mathcal{K}}{2}}_{\frac{\mathcal{K}^2}{4} \cong 1} \frac{1}{2 i \bar{u} \cdot D} i \not{D}_\perp \int_{\mathcal{C}_c}
 \end{aligned}$$

$$= \int_{\mathcal{C}_c} \frac{\mathcal{K}}{2} u \cdot D \int_{\mathcal{C}_c} + \int_{\mathcal{C}_c} i \not{D}_\perp \frac{1}{i \bar{u} \cdot D \mp i \epsilon} i \not{D}_\perp \frac{\mathcal{K}}{2} \int_{\mathcal{C}_c}$$

↑ sign will not matter.

$\bar{u} \cdot D \sim E$  is large

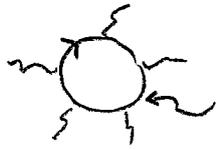
If one integrate out the field  $\eta$ , one further obtains

a determinant  $\det(i \bar{u} \cdot D)$ . This corresponds

simply to a trivial overall factor in the path integral.

To see this, note that it is gauge invariant, but independent of the gluon field in light-cone gauge

$\bar{u} \cdot A = 0$ . Alternatively, it is easy to see that all

loop diagrams  vanish, because all

poles are on the same side of the  $u \cdot p$  axis. ]

While the collinear quark Lagrangian has this somewhat complicated form, the collinear gluon Lagrangian is just the QCD expression with  $A_\mu \rightarrow A_\mu^c$ .

The same is true for the soft Lagrangian

$$\mathcal{L}_s = \bar{q}_s i \not{D}_s q_s - \frac{1}{4} (F_{\mu\nu}^{sa})^2$$

where  $iD_s = i\partial + A_s$

$$ig_s F_{\mu\nu}^a t^a = [iD_s^\mu, iD_s^\nu]$$

So our effective Lagrangian consists of several copies of QCD, for each collinear sector and for the soft momentum region.

What is still missing are the soft-collinear interactions.

The general construction is somewhat complicated (see hep-ph/0211358 by Beneke and Feldmann) but

we only need the leading power Lagrangian to derive factorization in the limit  $E_s \rightarrow \infty$ .

To get the leading interactions, remember

that  $(\bar{u}: A_c, \bar{u} A_c, A_{c\perp}) \sim (\lambda^2, 1, \lambda)$

$$(u A_s, u A_s, A_{s\perp}) \sim (\lambda^2, \lambda^2, \lambda^2)$$

$$\xi \sim \lambda \quad q_s \sim \lambda^3$$

The <sup>leading</sup>  $\gamma_{\text{soft}}$ -collinear interactions can be obtained

by replacing  $\phi_c(x) \rightarrow \phi_s(x_-)$  in the collinear Lagrangian.

E.g.  $-\frac{g}{3!} \int d^4x \phi_c^3(x) \rightarrow -\frac{g}{2!} \int d^4x \phi_c^2(x) \phi_s(x_-)$

- Since  $q_s$  is of a higher power than  $\xi$ , interactions with soft quarks do not appear at leading order.
- Only the  $n \cdot A_s$  component of the soft gluon field is not power suppressed, so only this component enters the leading soft-collinear interactions. So we can replace

$$A_c^M(x) \rightarrow (n \cdot A_c^{(x)} + u \cdot A_s(x_-)) \frac{\bar{u}^M}{2} + \bar{u} \cdot A_c^{(x)} \frac{u^M}{2} + A_{c\perp}^M(x)$$

in the collinear Lagrangian.

To summarize, our Lagrangian is

$$\mathcal{L}_{\text{SCET}} = \bar{q} i \not{D}_s q + \sum_s \frac{\not{n}}{2} \left[ n \cdot D + i \not{D}_{c\perp} \frac{1}{i \bar{n} \cdot D_c} i \not{D}_q \right] \left\{ -\frac{1}{4} (\overline{F}_{\mu\nu}^{sA})^2 - \frac{1}{4} (\overline{F}_{\mu\nu}^{cA})^2 \right\}$$

where  $iD_s = i\partial_\mu + g A_{s\mu}$

$$iD_c = i\partial_\mu + g A_{c\mu}$$

$$i\bar{n} \cdot D = i\bar{n} \cdot \partial + g \bar{n} \cdot A_c(x) + g \bar{n} \cdot A_s(x_-)$$

and  $ig \overline{F}_{\mu\nu}^s = [iD_\mu^s, iD_\nu^s]$

$$ig \overline{F}_{\mu\nu}^c = [iD_\mu, iD_\nu] \Big|_{D_\mu \rightarrow n \cdot D \frac{\not{n}}{2} + \bar{n} \cdot D_c \frac{\not{\bar{n}}}{2} + D_{c\perp}^\mu}$$

I have only written the Lagrangian for one collinear sector, but in our applications we'll always have two sectors,  $p_{c_1} \sim (\lambda^2, 1, \lambda)$  &  $p_{c_2} \sim (1, \lambda^2, \lambda)$ .

The second collinear sector is obtained by replacing  $n^\mu \leftrightarrow \bar{n}^\mu$  (which implies  $x_+ \leftrightarrow x_-$ ) in the first sector.

Let us now discuss gauge invariance. In the same way we have expanded the Lagrangian, we will also expand the transformations. Furthermore, we will consider both soft and collinear gauge transformations.

Since the collinear transformations involve a field with large energy, the soft fields cannot transform under them:  $V_c = \exp[i\alpha^a t^a]$

$$\xi_c \rightarrow V_c \xi_c \quad q_s \rightarrow q_s$$

$$A_s^+ \rightarrow A_s^+ \quad \text{,,}(\partial_\perp^M V_c^+)$$

$$A_{c\perp}^+ \rightarrow V_c A_{c\perp}^+ V_c^+ + \frac{i}{g} V_c [\partial_\perp^M, V_c^+]$$

$$\bar{n} A_c \rightarrow V_c \bar{n} A_c V_c^+ + \frac{i}{g} V_c [\bar{n} \partial, V_c^+]$$

$$n \cdot A_c \rightarrow V_c n \cdot A_c V_c^+ + \frac{i}{g} V_c [n \cdot D_s(x_-), V_c^+] \quad \uparrow!$$

The last transformation law is special. It ensures that  $n \cdot D = n \partial + g A_c(x) + g A_s(x_-)$  transforms as  $V n \cdot D V^+$ .

Let's now consider soft transformation  $V_s = \exp[i\alpha_s t^a]$ .

The soft fields transform in the standard way

$$A_s^a \rightarrow V A_s^a V^\dagger + \frac{i}{g} V [\partial^a, V^\dagger]$$

$$q_s \rightarrow V q_s$$

When transforming collinear fields, we must expand  $\phi_c \phi_s \rightarrow \phi_c(x) \phi_s(x_-)$ , therefore

$$\xi \rightarrow V_s(x_-) \xi$$

$$A_c^a \rightarrow V_s(x_-) A_c^a V_s^\dagger(x_-)$$

Note that the "missing"  $V_s[\partial^a, V^\dagger]$  term is power suppressed for  $A_{c\perp}$  and  $\bar{n} \cdot A_c$ . The small component of the collinear field, on the other hand, appears in the combination

$$n \cdot A_c(x) + n \cdot A_s(x_-)$$

$$\begin{aligned} \rightarrow V_s(x_-) [n \cdot A_c(x) + n \cdot A_s(x_-)] V_s(x_-) \\ + \frac{i}{g} V_s(x_-) [n \cdot \partial, V_s(x_-)] \end{aligned}$$

and transforms as expected.

It is easy to check that our Lagrangian is separately invariant under soft and collinear gauge transformations. The different covariant derivatives all transform as  $V i D_\mu V^\dagger$  and the fermions as  $V \psi$  and  $V \bar{\psi}$ , with  $x \rightarrow x_\pm$  in the appropriate places.